



KYOCERA AVX Components Corporation

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Website: <https://www.kyocera-avx.com>

KYOCERA AVX Corporation Annual Due Diligence Report

I. Introduction

KAVX Corporation submits this Annual Due Diligence Report in line with the Step 5 requirement of the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (“OECD Guidance”). KAVX utilizes metallic forms of tantalum, tin, tungsten and gold (“3TG”) minerals in various production processes and is committed to ensure that the 3TG in its products do not directly or indirectly finance or benefit armed groups that are perpetrators of human rights abuses in the Democratic Republic of Congo (“DRC”) and the nine adjoining countries (“Covered Countries”). KAVX has taken steps to ensure compliance to its Due Diligence Policy on Conflict Minerals.¹

KAVX is a leading worldwide manufacturer and supplier of a broad line of passive electronic components and related products headquartered in the United States, with a number of global subsidiaries and distributes a variety of passive electronic components manufactured by other manufacturers (collectively “KAVX Products”). While the details of KAVX’s manufacturing processes vary by product, generally speaking, KAVX purchases raw materials which it then combines and treats to form electronic components. For some products, those components are then further combined or connected to form more complex components, modules or interconnect devices. The products are then tested and packaged. Each KAVX manufactured product has a bill of materials allowing KAVX to track all materials used in its manufacture. KAVX currently has numerous manufacturing facilities around the world and the processes include, among other steps, assembly, batching, dicing, curing, sintering, welding, sputtering, molding, sealing and plating. To the best of our knowledge, the production processes for those products which KAVX distributes are similar to those KAVX itself manufactures. This report covers products manufactured by and for KAVX in calendar 2021.

Pursuant to the Rule, KAVX conducted a “reasonable country of origin inquiry,” which we believe was designed and performed in good faith, based on the procedures performed below:

- KAVX conducted supply-chain surveys with direct material and resale product suppliers using the Responsible Business Alliance / Responsible Mineral Initiative (“RBA/RMI”) Conflict Minerals Reporting Template (“CMRT”) to identify the smelters and refiners who contributed refined conflict minerals to KAVX Products.
- KAVX reviewed supply-chain surveys and contacted direct material and resale product suppliers for clarification, or to provide additional information where necessary.

Based on the results of our inquiry, we undertook due diligence measures on the source and chain of custody of the necessary metallic forms of conflict minerals in our products that we had reason to believe may have originated from the Covered Countries and may not have come from recycled or scrap sources, in an effort to determine whether the sourcing of the minerals directly or indirectly financed or benefited armed groups.

II. Design of Due Diligence Measures

KAVX has designed its due diligence measures to be in conformity, in all material respects, with the internationally recognized due diligence framework in the Organization for Economic Co-operation and Development (“OECD”) (2016), OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas: Third Edition, OECD Publishing (OECD 2016) (“OECD Framework”).

Controls include, but are not limited to, our Code of Conduct, which outlines expected behaviors for all KAVX directors, employees, consultants and agents, our Due Diligence Policy on Conflict Minerals, and supplier conflict minerals assertions. In addition, KAVX has engaged and actively cooperates with major manufacturers and others in the industry to promote responsible conflict minerals sourcing.

¹ <https://www.kyocera-avx.com/docs/corporate/Responsible-Minerals-Sourcing-Policy.pdf>



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III. Smelters and Refineries Identified

KAVX conducts smelter visits and supplier visits, however, due to the depth of our supply chain, we also rely on our suppliers to provide information on the origin of the 3TG minerals. KAVX identified 270 active in-scope suppliers for the 2021 reporting year by using the Responsible Minerals Initiative's Conflict Minerals Reporting Template ("CMRT"). Of the 270 suppliers, 92% were able to identify all smelters and refiners who contributed the metallic 3TG used in their production processes.

IV. Due Diligence Performed by KAVX

KAVX's due diligence measures undertaken for KAVX products manufactured in calendar year 2021 included, but was not limited to:

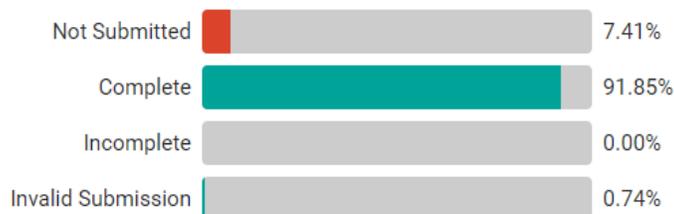
- Comparing the 328 smelters and refiners identified via the supply-chain surveys against the list of smelter/refiner facilities which have received a "conformant" designation from the Responsible Minerals Assurance Process ("RMAP") or an audit program with which RMAP has mutual recognition, or are actively pursuing such a designation.
- Reviewing supply chain surveys and contacting direct material and resale product suppliers for clarification, or to provide additional information where necessary.
- Employing a third party company to assist survey review and DD analysis.
- Participating in a smelter outreach program initiated by our third-party provider to request those SOR of 3TG known to be sourced from conflict-affected and high-risk areas to engage with the RMAP audit process.
- When safety permits, regular due diligence visit of direct material suppliers in Covered Countries performed by KAVX geologist. Such visit covers mine sites visiting, collecting raw material samples to determine the origin of the material later received, checking logistics and transport routes in order to identify possible weaknesses in the supply chain, assuring that all the activities preceding delivery of the material to KAVX are responsible, ethical and in compliance with company policy and OECD/RMI standards, and other possible risks finding and prevention.

V. Results of Due Diligence Measures

KAVX sources 3TG minerals from countries inside and outside of the defined Covered Countries list. Based on the due diligence measures performed, we can confirm sourcing from the below listed countries., KAVX has no reason to believe that any of the smelters or refiners identified in its supply chain sourced 3TG that directly or indirectly financed or benefited armed groups in a Covered Country, as defined by the Rule.

We were unable to fully identify countries of origin and/or smelters/refiners for calendar year 2021.

Supplier Status





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3TGs Breakdown



Suppliers By Smelter Risk



The below are countries of origin as reported by the RMI for the smelters and refiners in KAVX's supply chain.

- | | |
|-----------------------------------|--------------------|
| Argentina | Madagascar |
| Armenia | Malaysia |
| Australia | Mali |
| Austria | Mauritania |
| Azerbaijan | Mexico |
| Belarus | Mongolia |
| Benin | Morocco |
| Bolivia (Plurinational State of) | Mozambique |
| Botswana | Myanmar |
| Brazil | Namibia |
| Burkina Faso | Netherlands |
| Burundi | New Zealand |
| Canada | Nicaragua |
| Chile | Niger |
| China | Nigeria |
| Colombia | Oman |
| Congo, Democratic Republic of the | Panama |
| Côte d'Ivoire | Papua New Guinea |
| Cuba | Peru |
| Czechia | Philippines |
| Dominican Republic | Portugal |
| Ecuador | Russia |
| Egypt | Russian Federation |
| Eritrea | Rwanda |
| Estonia | Saudi Arabia |
| Ethiopia | Senegal |
| Fiji | Sierra Leone |
| Finland | Singapore |
| France | Slovakia |
| | South Africa |



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French Guiana

Germany

Ghana

Guatemala

Guinea

Guyana

Honduras

Hong Kong

India

Indonesia

Ireland

Israel

Japan

Kazakhstan

Kenya

Krygyzstan

Lao People's Democratic Republic

Laos

Liberia

South Korea

Spain

Sudan

Suriname

Swaziland

Sweden

Switzerland

Taiwan

Tanzania

Thailand

Turkey

Uganda

United Kingdom of Great Britain and Northern Ireland

United States of America

Uzbekistan

Venezuela

Vietnam

Zimbabwe